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Applicant: Jorge L. Orbay

Group Art Unit:

Serial No.: 10/806,540

Examiner:

Filed: March 23, 2004

Attorney Docket: HAN-031

Title: Cap for Cut Metal Orthopedic Fastener

I hereby certify that this correspondence is being deposited on this day with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

David S. Jacobson

Date

Honorable Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313

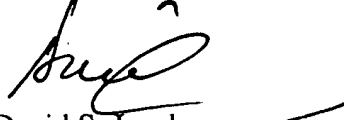
**SUPPLEMENTAL SUBMITTAL OF
DOCUMENTS PURSUANT TO DUTY OF DISCLOSURE**

Pursuant to applicant's duty of disclosure under 37 CFR Section 1.56, enclosed is a completed form PTOL-1449 which lists the documents relating to the above-referenced patent application. Since this document submittal is being presented prior to the first examination on the merits, no fee is due herewith.

The listed documents are brought to the Examiner's attention because they are known to the applicant and/or the applicant's attorney and may be considered by the Examiner to be material to his/her examination. This listing should not be construed as representation that a search has been made or that no better art exists. No inference should be made that the documents are in fact material merely because they are referenced herein. Moreover, no representation is made that the brief descriptions of the references herein necessarily describe the most material aspects of the references.

Further, by this listing, the applicant is not making any admission regarding the relative dates of the invention and listed disclosures.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'David S. Jacobson', with a long horizontal flourish extending to the right.

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Substitute for form 1449/PTC

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/806,540
Filing Date	03/23/2004
First Named Inventor	Jorge L. Orbay
Art Unit	
Examiner Name	
Attorney Docket Number	HAN-031

Sheet	1	of	1
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U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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